

To the joint doctoral committee Dr. rer. nat. of the Faculty of Natural Sciences and the Faculty of Mathematics and Economics of the University of Ulm

## Request for admission as a doctoral candidate and acceptance as a doctoral student

in accordance with § 38 (5) Sentence 2 of the State Higher Education Act of Baden-Württemberg (LHG), the framework doctoral regulations of the University of Ulm dated 25.07.2019 and the current doctoral regulations of the Faculty of Natural Sciences and the Faculty of Mathematics and Economics for the degree of **Doctor of Natural Sciences (Dr. rer. nat.)**

### Applicant

Name, first name: \_\_\_\_\_

Diploma/Master of Science in: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_

E-Mail: \_\_\_\_\_

Student or applicant number: \_\_\_\_\_

### Declaration

1. I am aware of the currently valid doctoral regulations, the general doctoral regulations (RPO) of the University of Ulm and the doctoral regulations of the Faculty of Natural Sciences and the Faculty of Mathematics and Economics for the award of the doctorate Dr. rer. nat.
2. For the request for admission as a doctoral candidate and thus acceptance as a doctoral student, I enclose the proofs according to § 7 (2) RPO (if necessary further proofs according to FSPO). I am aware of the admission requirements for the doctorate according to § 6 RPO in conjunction with § 6 of the FSPO.
  - **Certified** copy of the degree certificate with final grade,
  - if applicable, aptitude assessment procedure (please list in full).
  - proof of the admission requirements in accordance with § 6 (degrees, if applicable, the decision of the doctoral committee on the successful completion of the aptitude assessment procedure in accordance with § 6 para. 5)
  - Doctoral agreement in accordance with § 6 para. 1 sentence 1, 2nd HS no. 1 RPO **in the original**

### For degrees obtained abroad:

- Foreign educational certificates for Bachelor and Master degrees in the national language, as well as in German or English, **certified translation** (we require official translations in the RPO)  
**Copies are not sufficient**
- Overview of grades for Bachelor and Master degrees
- curriculum vitae

3. I declare, that

- I have not yet applied for a doctoral degree.
- Have submitted an application for a doctoral degree in the past (including one that has not yet been completed), which I explain enclosed.

4. I declare, that I

- have not yet finally failed in an earlier doctoral procedure for the same doctoral degree at the University of Ulm or another university with the right to award doctoral degrees.
- failed for the same dissertation at the University of Ulm or another university with the right to award doctoral degrees.

5. I am aware that acceptance as a doctoral candidate does not give a legal right to the opening of the doctoral procedure.

6. If I give up my intention to complete a dissertation for the future, I will immediately inform the doctoral committee.

### Request

On the basis of the declarations I hereby apply for acceptance as a doctoral candidate according to § 6 and § 7 of the valid RPO and FSPO.

**Note:** if necessary, completion of additional services, pronounced by the doctoral committee, according to PO § 6(1), (2) and §7(1).

---

Date

---

Signature applicant

## Statement of the supervisor on the above request

- I declare that the prospective doctoral candidate is employed by Ulm University.
- I declare that the doctoral candidate is not employed by Ulm University.
  
- I declare that the main place of residence of the prospective doctoral candidate has been within an EU country or an EU001 country for the last 5 calendar years prior to the intended commencement of work.
- I declare that the main place of residence of the prospective doctoral candidate has not been continuously within an EU country or an EU001 country for the last 5 calendar years prior to the intended commencement of work. The result of the export control check does not contradict acceptance as a doctoral candidate. The result of the export control check is enclosed for information.

I declare my intention to scientifically supervise the applicant in the preparation of the dissertation. If I cancel this agreement, I will inform the doctoral committee immediately.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature and stamp of the supervisor

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name and institute of the faculty member 2nd reviewer, (for external supervisors according to §5 of the RPO dated 25.07.2019)

# Decision of the PhD Committee on the acceptance or non-acceptance

(After checking the requirements according to § 6 and § 7 of the RPO and the valid FSPO Dr. rer. nat.)

---

Applicant

- The conditions are fulfilled. The above application is accepted, at the latest, however, for the duration of the supervision commitment.
  
- The conditions are not fulfilled.

---

Date

---

Chairman of the doctoral committee

## Doctoral Agreement (according to § 38 Abs. 5 LHG)

Between

Supervisor

\_\_\_\_\_

Title, name, first name

and

doctoral candidate

\_\_\_\_\_

Name, first name

### 1. Dissertation project

- In the Faculty of Natural Sciences, Field of doctorate: \_\_\_\_\_
- In the Faculty of Mathematics and Economics

Where relevant, name of the doctoral programme or other recognized programme of structured doctoral training \_\_\_\_\_

Planned topic for the dissertation (working title):

\_\_\_\_\_

Start of the doctoral project (month/year): \_\_\_\_\_

Scheduled end of the doctoral project (month/year): \_\_\_\_\_

### 2. Tasks and duties of the doctoral candidate

(1) The doctoral candidate reports regularly to the supervisor on the preparation, development and implementation of the doctoral project and on the progress made. The report may also be made at a colloquium or comparable event.

(2) The basis for the meeting is a time and work schedule agreed in advance with the supervisor. Deviations from the plan as well as modifications in the objectives, contents and methods must be discussed with the supervisor. The schedule is to be adapted according to the progress of the dissertation and the personal life situation of the doctoral candidate.

(3) The doctoral candidate commits himself/herself to adhere to the statutes of the University of Ulm on good scientific practice.

### 3. Tasks and duties of the supervisor

(1) By signing this agreement, the supervisor commits herself/himself to supervise the doctoral project of the doctoral student. The supervisor is aware of the doctoral candidate's description of the objectives, contents and methods of the doctoral project, including the time and work schedule.

(2) The supervisor is available at regular intervals for supervision and professional advice of the doctoral student. He or she also provides feedback on the doctoral student's performance.

(3) The supervisor gives the doctoral candidate the opportunity to do further studies to improve his or her education, in particular by attending research lectures, specialist conferences and other events relating to the qualification for science and research.

(4) When submitting the dissertation, the supervisor commits himself/herself to review it according to § 11 paragraph 2 of the current FSPO.

(5) The supervisor commits herself/himself to adhere to the statutes of the University of Ulm on good scientific practice.

#### 4. Cancelling the doctoral agreement

(1) This agreement ends on the agreed date. It can be terminated by both sides, or in case of important reasons also by one side. In this case, a conversation should be sought beforehand; in the event of a conflict, the ombudsperson pursuant to § 5 (1) of the Framework Doctoral Regulations is to be called upon to bring about a solution.

(2) In the case of a dissolution of the doctoral agreement for reasons for which the doctoral candidate is not responsible, the responsible faculty will make every effort to find an alternative, professionally appropriate supervisor relationship.

#### 5. Others

This doctoral agreement is signed in three copies. One signed copy each remains with the supervisor, the doctoral candidate and the doctoral file.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature supervisor

I am willing to produce an review:

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name and institute of the faculty member 2nd reviewer, (**only necessary for external supervisors** according to §5 of the RPO dated 25.07.2019)

## Statistics

Data collection in accordance with the University Statistics Act of 01.03.2016 / collection from 01.01.2017

The University of Ulm is obliged to report the following data to the State Statistical Office:

### Personal data

Name: \_\_\_\_\_ First name: \_\_\_\_\_

Gender:  male  female

Date of birth: \_\_\_\_\_

Nationality: \_\_\_\_\_ Other nationality: \_\_\_\_\_

### Type of doctorate:

- PhD at UUlM (incl. cooperation with university in Germany)
- PhD at UUlM in cooperation with university abroad
- PhD at UUlM in cooperation with University of Applied Sciences
- PhD at UUlM in cooperation with research institution
- PhD at UUlM in cooperation with industry or other institutions

PhD subject:  Mathematics  Biology  Chemistry  Physics  Others

### Type of registration as doctoral candidate:

- First registration (as doctoral candidate at UUlM).
- New registration (re-registration after a previous doctorate at a German University has been terminated, or in case of a relocation with a supervisor who is appointed to UUlM).
- Active continuation (continuation of the PhD) at the same university.
- Leave of absence / interruption (leave of absence or any other interruption of work at the PhD), which are declared to the supervisors or the university administration).

Enrollment as a PhD student:  no  yes

Participation in a structured PhD program :  no  yes

Employment at UUlM:  no  yes

### Anticipated type of dissertation:

- Monograph
- cumulative dissertation

### First university entrance qualification (HZB):

Year of the first acquisition (HZB): \_\_\_\_\_

Type of the first HZB: \_\_\_\_\_

Acquisition of the first HZB in Germany (city/county/state): \_\_\_\_\_

Acquisition of the first HZB abroad, city/country: \_\_\_\_\_

### Initial enrollment (as a student)

University: \_\_\_\_\_

Outside Germany/State: \_\_\_\_\_

Term :  Summer term  Winter term  Year \_\_\_\_\_

### Degree (Master/Diploma)

University: \_\_\_\_\_

Outside Germany/State: \_\_\_\_\_

Subject of study: \_\_\_\_\_

Month of examination completion: \_\_\_\_\_ Year of examination completion: \_\_\_\_\_ Overall grade: \_\_\_\_\_